

Army War College
Strategic Implications of Emerging Technologies

Ms. Lisa Swan

Deputy Director

April 15, 2009















## Capabilities

Biometrics are "measurable physical and behavioral characteristics that enable the establishment and verification of an individual's identity."

- Capstone CONOPS for DoD Biometrics, November 2006.

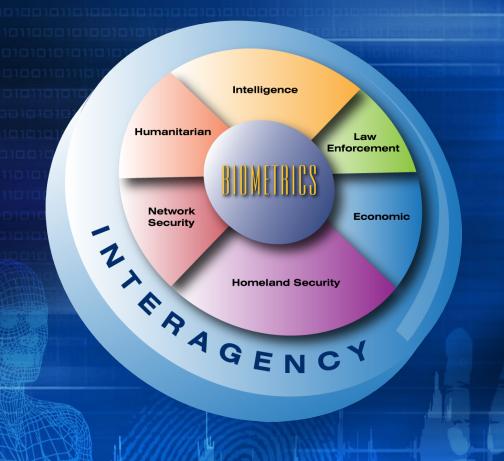


**Delivering Capabilities to Warfighters** 





# **Across the Government**



Coordination

Collaboration

DataSharing





# **Meeting the Challenge**

**DoD Directive** 8521.01 provides the guidance and organizational



Department of Defense DIRECTIVE

NUMBER 8521.013

- Section 113 of title 10, United States Code Section 113 of the Emergency Supplemental Act of 2000, Pub. L. No. 106-246, July 13, 2000
  - DoD Directive 8500.01E, "Information Assurance (IA)," October 24, 2002 DoD Directive 1000.25, "DoD Personnel Identity Protection (PIP) Program

Under the authority vested in the Secretary of Defense by Reference (a) and consistent with Reference (b), this Directive:

1.1. Establishes policy, assigns responsibilities, and describes procedures for DoD

1.2. Designates the Director, Defense Research & Engineering (DDR&E), under the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)), as the Principal Staff Assistant (PSA) responsible for oversight of DoD biometrics programs and policy, to

1.3. Designates the Secretary of the Army as the DoD Executive Agent (EA) for DoD matrix: Supersedes the designation of the Secretary of the Army as the EA for the egration of common bisometric technologies throughout the Department of Defense under

1.4. Reinforces responsibilities and authorities assigned under Reference (4) and DoD Directive (DoDD) 5400.11 (Reference (e)) to support the Personnel Identity Protection and

1.5. Supersedes Deputy Secretary of Defense Memorandums (References (f) and (g)) and conflicting portions of Reference (c). Additionally supersedes all other DoD issuances and off conflicting guidance regarding DoD biometrics.

DDR&E -PSA for **Biometrics** Mr. Shaffer

Director, Defense **Biometrics** Mr. Dee

SecArmy Executive Agent for **Biometrics** 

Mr. Pete Geren

**Executive Manager for Biometrics and** Director Biometrics Task Force

DIMIMUATO GEOMITY **CDR Tyson Schaedel** 

Deputy Director, Virginia

Ms. Lisa Swan

Strategic

Integration Division

Mr. Gantt

Capabilities

Division Mr.

Zimmerman

Integration

Deputy Director, West Virginia

Mr. David Lohman

Enterprise Support Division

Ms. Gabriel

Operations Support Division

LTC (P) Smith















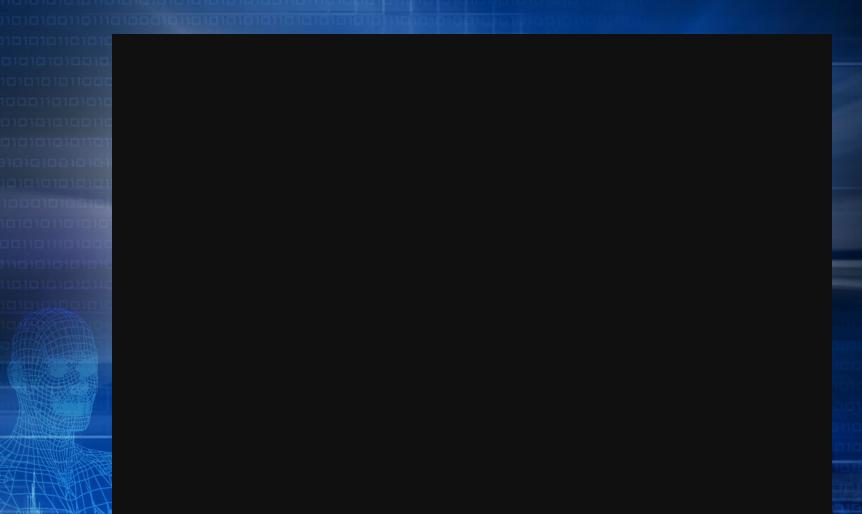








# Impacting the Warfighter

















## **Biometrics Process in DoD**

### 1. COLLECTION



Requirements

### **MILITARY OPERATIONS**

- Checkpoints, Patrols
- •Base Access, Employee Screening
- Census Management

Data

Actionable Intelligence

## 2. MATCHING / STORAGE



Matche

## 3. INTEL / ANALYZE



INTEL COMMUNITY





## **Biometrics Mission Sets**



**Population Census** 



**Targeting / Tracking** 





Police, Military, & Govt. Official Vettir















## **DoD Biometric Efforts**

FY 08 FY 09 FY 10

Optimize
What We
Have

**Meet Immediate Warfighter Needs** 

Improve the Existing Architecture

All Systems are Interoperable & Compatible

Build What We Need

**Establish Future Enterprise** 

Support immediate needs while building for the





## **Biometrics as an Enabler**

- Identify individuals
- Assign appropriate classification
  - Level of threat
  - Level of access
  - Level of privileges

- Requires
  - Seamless collection, storage and sharing of identity information
- Does Law, Regulation, Policy allow?
  - Understand the seams

Identify

Full Privileges and access Access level Classify

Deny

Access

Threat level

Tier 1 HVT

**Clearance** 

Logical Access **Employ** 

Crimina I

Track

Capture / Detain

Privileges& Benefits

10















## **DoD Biometrics Enterprise**



**Mission:** Provide enduring biometrics capabilities to DoD and its mission partners to enable identification of individuals in support of national security interests.

**Vision:** A flexible, global biometrics enterprise that protects rights and enables services for our personnel, friends, and partners, and denies anonymity to our adversaries.



















18 Sep 2005



Enrolled In BAT For Small Arms Fire Attack on CF **Matching & Storage** 

28 May 2007

Latent Match in WV DoD

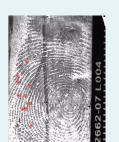


**Intel Exploitation** 



**NGIC Analysis** 

- High Threat/High Intel Value
- Tier 1 Watchlist Status (Detain if Encountered)



6 Apr 07

Latent
Print taken
off of
detonated

**VBIED** 

## **Actions**

28 May 07: Placed "On Alert" In BAT

• 27 Jun 07: Locally Detained during

**Cordon & Search** 

• 29 Jun 07: Detained at Al-Asad

**Detention Facility** 













### ABIS - NSWG-1



NAME:

Abass, Nasar Misie DOB: 19780101

RFP:

Personal Security

**Force** 

#### **IC SUBMISSION**



**IC SUBMISSION** 



ABIS - NSWG-1

NAME:

Ramadam, Methad Ibraham Al Mar **DOB**: 19851007

RFP:

Trebil Border Polic



## **Seven Face IDs**

## Background

- Elements of the Intelligence Community (IC) have captured videos and still photos of suspects
- 51 of the photos have been submitted to the DoD ABIS
- 7 of the photos matched
- The matched records included the following Reasons for Printing
  - 1 x Justice Employment
  - 1 x Attack on Coalition
  - 1 x Fallujah New Badge
  - 1 x Trebil Border Police
  - 1 x Ramadi ID Badge
  - 1 x Personal Security Force
  - 1 x Not Listed in RFP/ASL

Multi-modal ABIS is a success

















Ms. Lisa Swan

Deputy Director, Biometrics Task Force

lisa.swan@biometrics.dod.mil

703.607.1958

www.biometrics.dod.mil













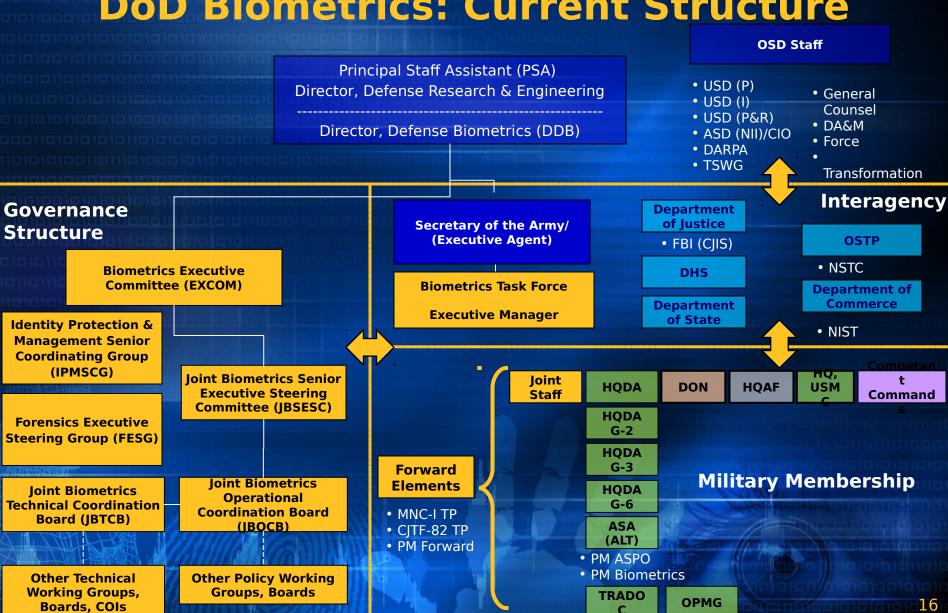








## **DoD Biometrics: Current Structure**







## **Biometrics Identity in Offense**

## **USE BIOMETRICS FOR:**

Identity

Dominance



Targeting Individuals

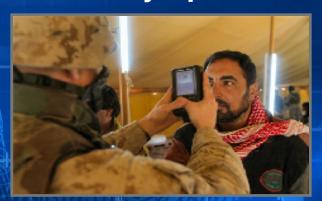


**Track Identities** 



TO ACHIEVE MORE EFFECTIVE:

Military Operations



## Criminal

















# **Biometrics Identity in Defense**

# **Ensure Identity Protection for U.S. Forces**

# Physical Access Control

Post/Camp/§

Buildings





# **Logical Access Control**

Computer Login









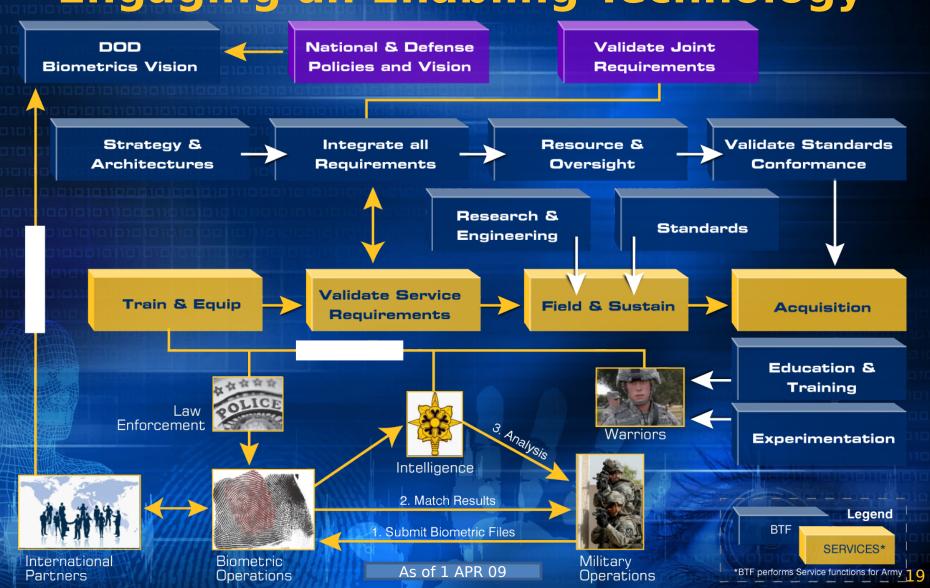








# **Engaging an Enabling Technology**









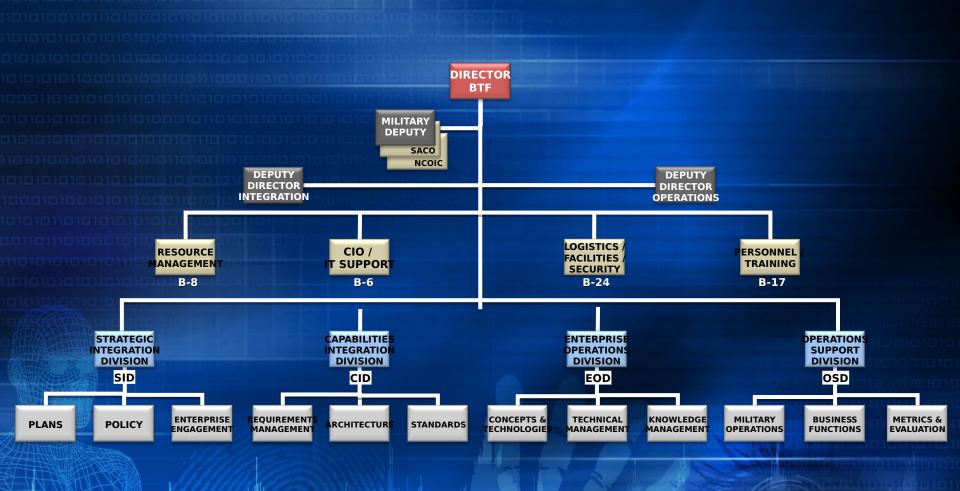








# **BTF Organization Chart**













#### **DEPLOYED SYSTEMS**

**ABIS** - Automated Biometric Identification System

**BAT** - Biometrics Automated Toolset

**BISA** - Biometrics Identification System for Access

**CROSSMATCH JUMPKITS** 

**DBIDS** - Defense Biometrics Identification System

DRS - D

#### **NEAR-TERM IMPROVEMENTS**

Architecture efficiency and sustainability

Data searches and rapid matching

More base access systems in CENTCOM AOR

Support for more collection devices



#### **RESULTS**

3.3 million ABIS entries

953,000 ABIS matches

Federal and DoD sharing

FY 09 - 554 Tier 1/Tier 2 HVIs

captured/killed

31,835 Individuals categorized as Do Not Hire/Deny Access/Deny Training

Database flexibility

21















# **Biometrics Training Approach**

FY 08 FY 09 FY 10

Meet Immediate Warfighte Needs

**Units** Can Operationally Use Biometrics

- FORSCOM Training Guidance
- MTT Pre-Deployment User Training
- CTCs Postured
- CALL Handbook

Build Knowledge Base Throughor The Force

Organizations
Biometrics
On Their Own

- "Master Gunner" Course
- Home Station Equipment
- New Equipment Training

Institutionaliz

**DoD Components** Can Sustain Biometrics Superiority

- Doctrine
- Biometrics Skill Identifiers
- Institutional POIs
  - Self-Development

POIS

Support immediate needs while building for the future